

Section 10.2.1: Tracking Grantee Corrective Efforts

PURPOSE AND POLICY

The High-Risk Designation Coordinator (HRDC) within the Office of Grants and Federal Resources (GFR) at the Arizona Department of Administration (ADOA) will maintain a list of all subrecipients placed on the high-risk designation list. In addition, the HRDC will take the lead in tracking implementation of the milestones relative to the corrective actions that must be taken to have a high-risk designation removed. Follow-up on grant-specific programmatic corrective actions that may be required will be coordinated through the appropriate grant program manager. In accordance with normal grant management duties, the appropriate grant program manager will continue to work with the grantee regarding such issues until each has been appropriately resolved. In these cases, the HRDCs responsibility will be limited to following up with the appropriate grant program manager to determine if the corrective action has been implemented.

In the event that a subgrantee should not make sufficient progress in addressing the required corrective actions within the timeframes specified, the HRDC will coordinate efforts with the appropriate state agency and grant program manager to determine whether more severe penalties, such as the following, may be warranted:

- Terminating the award;
- Freezing subgrant funds on all current subgrant awards;
- Barring the subgrantee from receiving future subawards for a set period of time; and/or
- Recommending the grantee for debarment and suspension similar to [A.R.S. 41-2613](#).
Debarment and suspension of contractors.